

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WILLIAM C. FARLEY
SEAN MCMANIMON

Serial No.: 10/660,039

Filed: September 9, 2003

For: APPARATUS AND METHOD FOR
CARRYING OUT AND MONITORING
CURRENCY-CHIP TRANSACTIONS IN CASINO

DECLARATION VERIFYING SMALL ENTITY STATUS <u>UNDER 27 C.F.R. 19(f) AND 1.27(b)</u>

Hon. Commissioner of Patents and Trademarks, PO Box 1450 Alexandria, VA. 22313-1450

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14 Dear Sir:

As a named inventor, I hereby that I qualify as an independent inventor as defined in 37 C.F.R. 1.9 (c) for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-identified patent.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights the invention to any person who could not be classified as an independent inventor under 27 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9 (e). Any person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is identified below:

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24	I hereby certify that this correspondence is being
25	deposited with the United States Postal Service as: CERTIFIED MAIL NO. 70030500 0001 5322 RETURN RECEIPT REQUESTED
	RETURN RECEIPT REQUESTED
26	in an envelope addressed to:
	COMMISSIONER OF PATENTS AND TRADEMARKS,
27	PO BOX 1450, Alexandria, VA. 22313-1450 on: 3-11-04
28	Off Use 3-11-01
	TOD R. NISSLE, Reg. No. 29,241 DATE

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2	Full Name:	_N/A		_
3	Address:			_
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5	[] Individual	[] Small Business	[] Non profit Organization	
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8	Full Name:	N/A		_
9	Address:			_
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11	[] Individual	[] Small Business	[] Non profit Organization	
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13		I acknowledge the duty to file, in th	nis patent, notification of any change in status resulting in los	S
14	of entitlement to	small entity status prior to paying	g, or at the time of paying, the earliest of the issue fee or an	y
15	maintenance fee	due after the date on which status a	s a small entity is no longer appropriate. [37 C.F.R. 1.28(b)	1
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17		I hereby declare that all statements	herein of my own knowledge are true and that all statement	S
18	made on informa	ation and belief are believed to be t	true; and further that these statements were made with the	e
19	knowledge that v	willful false statements or the like	so made are punishable by fine or imprisonment, or both	ı,
20	under §1001 to	Title 18 of the United States Code	e, and that such willful false statement may jeopardize the	e
21	validity of the app	plication, any patent issuing thereor	n, or any patent to which this verified statement is directed	l.
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1	Name of Inventor:	WILLIAM C. FARLEY
2	Address:	8 Ternberry Court
3		Turnersville, N.J. 08012
4	Signature:	2/9/04 2/9/04
5	Date:	2/9/04
6		• •
7	Name of Inventor:	SEAN MCMANIMON
8	Address:	2 Dorato Drive
9		Egg Harbor Township, N.J. 08234
10	Signature:	X (CE)
11	Date:	3-6-04
12		
13		
14	Attorney's Docket No.:	<u>934-P-3</u>
15	TOD R. NISSLE, P.C.	
16	P.O. Box 55630 Phoenix, Arizona 85078	
17	m	
18	Tel: 602-976 Fax: 602-976	0-8707
19	Email: nissle@	Pnissle.com
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DECLARATION, POWER OF ATTORNEY, AND PETITION

As a below named inventor, I hereby declare that:

4	My residence, post office address and citizen ship are as stated b	elow next to my name.	
5	I believe I am the original, first and sole inventor (if only one nar	ne is listed below) or an original, fi	rst
	and joint inventor (if plural names are listed below) of the subject matter w	hich is claimed and for which a pate	ent
6	is sought on the invention entitled: <u>APPARATUS AND METH</u>	OD FOR CARRYING OUT AN	ND
7	MONITORING CURRENCYCHIP TRANSACTIONS IN CASINO th		
8	(check one) is attached hereto. <u>XX</u> was filed on <u>Septemb</u>	_	
	Application Serial No. <u>10/660,039</u> and was amended o	n (if applicab	le)
9	I hereby state that I have reviewed and understand the contents	of the above-identified specification	on,
10	including the claims, as amended by any amendment referred to above.		
11	I hereby acknowledge the duty to disclose information which is	s material to the examination of the	his
	application in accordance with Title 37, Code of Federal Regulations, § 1	.56(a).	
12	I hereby claim foreign priority benefits under Title 35, Unite	d States Code § 119 of any forei	gn
13	application(s) for patent or inventor's certificate listed below and hav	ve also identified below any forei	gn
14	application for patent or inventor's certificate having a filing date before	that of the application on which t	he
	priority is claimed:		
15	Prior Foreign Application(s	s)	
16		·	
16 17		Priority Claimed	
17	N/A	<u>. </u>	
l		Priority Claimed Yes No	
17	(Number) (Country) Day/month/year filed N/A	Yes No	
17 18	(Number) (Country) Day/month/year filed	<u>. </u>	
17 18 19 20	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A	Yes No	
17 18 19	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed	Yes No	
17 18 19 20	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A	Yes No	
17 18 19 20 21 22	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A	Yes No	
17 18 19 20 21 22 23	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed	Yes No	
17 18 19 20 21 22	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed I hereby certify that this correspondence is being deposited with the United States Postal Service as:	Yes No	
17 18 19 20 21 22 23	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed I hereby certify that this correspondence is being deposited with the United States Postal Service as: CERTIFIED MAIL NO. 7003 0500 000/ 5322	Yes No	
17 18 19 20 21 22 23 24	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed I hereby certify that this correspondence is being deposited with the United States Postal Service as:	Yes No	
17 18 19 20 21 22 23 24 25 26	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed I hereby certify that this correspondence is being deposited with the United States Postal Service as: CERTIFIED MAIL NO. 7003 0500 000/ 5322 in an envelope addressed to: 0660	Yes No	
17 18 19 20 21 22 23 24 25	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed I hereby certify that this correspondence is being deposited with the United States Postal Service as: CERTIFIED MAIL NO. 7003 0500 00015322 in an envelope addressed to: 0660 COMMISSIONER OF PATENTS AND TRADEMARKS,	Yes No	
17 18 19 20 21 22 23 24 25 26	(Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed N/A (Number) (Country) Day/month/year filed I hereby certify that this correspondence is being deposited with the United States Postal Service as: CERTIFIED MAIL NO. 7003 0500 00015322 in an envelope addressed to: COMMISSIONER OF PATENTS AND TRADEMARKS, PO BOX 1450, Alexandria, VA. 22313-1450	Yes No	

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I hereby claim the benefit under Title 35, United States Codes §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §156(a) which occurred between the filing date of the prior application and the national or PCT international filing of this application:

<u>09/365,861</u> <u>08/03/99</u> <u>PENDING</u>
(Application Serial No) (Filing Date) (Status) (patented, pending, abandoned)

(Application Serial No) (Filing Date)

(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint:

Tod R. Nissle, Esq. Reg. No. 29,241 TOD R. NISSLE, P.C. P.O. Box 55630 Phoenix, Arizona 85078

Tel: (602) 494-8700 Fax: (602) 494-8707

Email: nissle@nissle.com

my attorney and will full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

Wherefor I pray that Letters Patent by granted to me for the invention or discover described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, this petition.

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1	Full name of sole or first inventor: WILLIAM C. FARLEY
2	Inventor's signature: Willin C. Fay Date: 2/9/04
3	Residence: 8 Ternberry Court, Turnersville, N.J. 08012
4	Citizenship:UNITED STATES OF AMERICA Post Office Address:Same
	Tost Office Address. Dame
5	
6	Full name of second joint inventor: _SEAN MCMANIMON
7	Inventor's signature: Date: 3-6-04
8	Residence: 2 Dorato Drive, Egg Harbor Township, N.J. 08234
9	Citizenship: UNITED STATES OF AMERICA
10	Post Office Address: Same (Supply similar information and signature for third and subsequent joint inventors)
	(oupply officer in a signature for time and subsequent joint inventors)
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12	Attorneys Docket No.: 934-P-3
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CERTIFIED MAIL CERTIFICATION RETURN RECEIPT REQUESTED ACCOMPANYING PAPER AND/OR FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

WILLIAM C. FARLEY ET. AL.

Serial No.

10/660,039

Filed

September 9, 2003

For:

APPARATUS AND METHOD FOR CARRYING OUT...

Attorney Docket No.

934-P-3

Box Office Actions

Commissioner for Patents

PO Box 1450

Alexandria, VA. 22313-1450

"CERTIFIED MAIL" number: 7003 0500 0001 5322 0660

Date of Deposit: <u>03/11/04</u>

I hereby certify that the attached

Request for Extension of Time; Extension of Time Check No. 25651; Response to "Notice to File"; Filing Fee/Surcharge Check No. 25652; Declaration, Power of Attorney and Petition; Declaration Verifying Small Entity Status; and return postcard are being deposited with the United States Postal Service as "CERTIFIED MAIL -- RETURN RECEIPT REQUESTED" service under 37 CFR 1.10 on the date indicated above and is addressed to: Attn. Office Actions, Commissioner for Patents, PO Box 1450, Alexandria, VA, 22313-1450.

03/11/04

TOD R. NISSLE, Reg. No. 29,241

Date